

## National Stock Exchange Of India Limited

## **Department: INVESTOR SERVICES CELL**

Download Ref No: NSE/ISC/60116 Circular Ref. No: 01/2024 Date: January 04, 2024

To All Members,

## Multiple UCC with same email address and mobile number

This is with reference to SEBI circular CIR/MIRSD/15/2011 dated August 02, 2011 and Exchange vide circular NSE/INVG/2011/18503 dated August 02, 2011, which inter-alia states as under:

"Stock Brokers shall ensure that separate mobile number/E-mail address is uploaded for each client. However, under exceptional circumstances, the stockbroker may, at the specific written request of a client, upload the same mobile number/E-mail address for more than one client provided such clients belong to one family. 'Family' for this purpose would mean self, spouse, dependent children, and dependent parents."

Please note that multiple UCC mapped with same mobile number or email address should strictly be as per the definition of "Family" as mentioned above and at the written request of a client in whose account same mobile number/E-mail address being updated to avoid any penalty / disciplinary action as per Exchange vide circular NSE/INSP/53530 dated September 02, 2022 or any circular issued thereafter.

Trading members are required to take note of the above requirement and ensure that the same is being complied with.

In case of any queries/clarifications, you may reach us on the following contact details.

For and on behalf of National Stock Exchange of India Limited

## Shanti Idnani Associate Vice President

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